

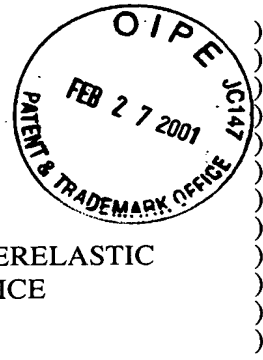
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02/27/01

Patent
Attorney's Docket No. 032405-018

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of
Stephen A. Yencho, et al.
Application No.: 09/687,216
Filed: October 12, 2000
For: IMPLANTABLE SUPERELASTIC
ANASTOMOSIS DEVICE



Group Art Unit: Unassigned
Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [] A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [] Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ [] A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Cindy A. Lynch
Cindy A. Lynch
Registration No. 38,699

Handwritten initials and signature

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Date: February 26, 2001

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In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, hereby the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

<u>U.S. Patent Documents</u>	<u>Date</u>	<u>Name</u>
3,254,650	06/07/66	Collito
3,519,187	07/07/70	Kapitanov, et al.
3,774,615	11/27/73	Lim, et al.
4,214,587	07/29/80	Sakura, Jr.
4,350,160	09/21/82	Kolesov, et al.
4,352,358	10/05/82	Angelchik
4,366,819	01/04/83	Kaster
4,368,736	01/18/83	Kaster
4,503,568	03/12/85	Madras
4,523,592	06/18/85	Daniel
4,553,542	11/19/85	Schenck, et al.
4,589,416	05/20/86	Green

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4,593,693	06/10/86	Schenck
4,607,637	08/26/86	Berggren, et al.
4,624,255	11/25/86	Schenck, et al.
4,624,257	11/25/86	Berggren, et al.
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5,354,302	10/11/94	Ko
5,366,462	11/22/94	Kaster, et al.
5,395,311	03/07/95	Andrews
5,443,497	08/22/95	Venbrux
5,456,712	10/10/95	Maginot
5,456,714	10/10/95	Owen
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5,478,354	12/26/95	Tovey, et al.
5,522,834	06/04/96	Fonger, et al.

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5,556,405	09/17/96	Lary
5,558,667	09/24/96	Yarborough, et al.
5,571,167	11/05/96	Maginot
5,643,340	07/01/97	Nunokawa
5,669,918	09/23/97	Balazs, et al.
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5,702,412	12/30/97	Popov, et al.
5,707,362	01/13/98	Yoon
5,707,380	01/13/98	Hinchliffe, et al.
5,709,335	01/20/98	Heck
5,709,693	01/20/98	Taylor
5,725,544	03/10/98	Rygaard
5,725,553	03/10/98	Moenning
5,732,872	03/31/98	Bolduc, et al.
5,797,920	08/25/98	Kim
5,814,073	09/29/98	Bonutti
5,817,113	10/06/98	Gifford, III, et al.
5,881,943	03/16/99	Heck et al.
5,893,369	04/13/99	LeMole
5,904,697	05/18/99	Gifford, III, et al.
5,921,995	07/13/99	Kleshinski
5,944,730	08/31/99	Nobles, et al.
5,947,363	09/07/99	Bolduc, et al.
5,957,363	09/28/99	Heck
5,976,159	11/02/99	Bolduc, et al.
6,007,544	12/28/99	Kim
6,022,367	02/08/00	Sherts

<u>U.S. Patent Documents</u>	<u>Date</u>	<u>Name</u>
6,113,612	09/05/00	Swanson, et al.
6,152,937	11/28/00	Peterson, et al.
6,165,185	12/26/00	Shennib, et al.

<u>Foreign Patent Documents</u>	<u>Date</u>	<u>Country</u>
WO 92/08513	05/29/92	PCT
WO 97/25002	07/17/97	PCT
WO 97/27898	08/07/97	PCT
WO 97/31575	09/04/97	PCT
WO 98/07399	02/26/98	PCT
WO 98/19618	05/14/98	PCT
WO 98/19625	05/14/98	PCT
WO 98/19629	05/14/98	PCT
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WO 98/19631	05/14/98	PCT
WO 98/19632	05/14/98	PCT
WO 98/19634	05/14/98	PCT
WO 98/19636	05/14/98	PCT
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WO 99/17665	04/15/99	PCT
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WO 99/21491	05/06/99	PCT
WO 99/38441	08/05/99	PCT
WO 99/38454	08/05/99	PCT
WO 99/45848	09/16/99	PCT
WO 99/62406	12/09/99	PCT

<u>Foreign Patent Documents</u>	<u>Date</u>	<u>Country</u>
WO 99/62409	12/09/99	PCT
WO 99/63910	12/16/99	PCT
WO 99/65409	12/23/99	PCT
WO 00/09040	02/24/00	PCT
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297 13 335.7	11/27/97	Germany

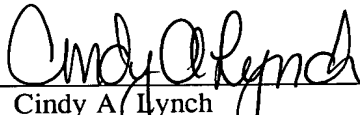
The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

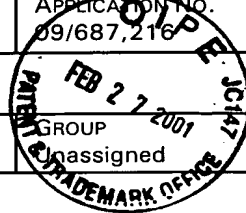
BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: _____

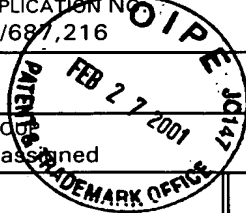

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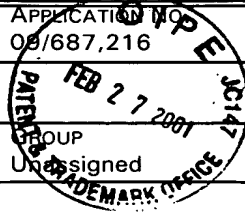
Date: February 26, 2001

INFORMATION DISCLOSURE CITATION PTO-1449				ATTORNEY'S DKT NO. 032405-018		APPLICATION NO. 09/687,216	
				APPLICANT Stephen A. Yencho, et al.			
				FILING DATE October 12, 2000			
							
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	3,254,650	06/07/66	Collito				
	3,519,187	07/07/70	Kapitanov, et al.				
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						Yes	No
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EXAMINER				DATE CONSIDERED			

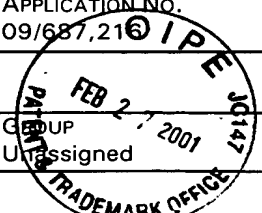
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				APPLICANT Stephen A. Yencho, et al.					
				FILING DATE October 12, 2000		GROUP Unassigned			
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	5,669,918	09/23/97	Balazs, et al.						
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	99/65409	12/23/99	PCT				
	00/09040	02/24/00	PCT				
	0517252	12/09/92	Europe				
	29713335.7	11/27/97	Germany				
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